

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Sheet 1 of 1

Complete if Known**Application Number** 09/777,306**Filing Date** February 5, 2001**First Named Inventor** Hess, Martin**Group Art Unit** 2154**Examiner Name** Vu, Viet**Attorney Docket No:** 2043.021US4**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
✓✓	US-2003/0208560A1	11/06/2003	Inoue, M., et al.	03/19/2001
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W	WO-0078557	12/28/2000	Knepfle, Joshua, et al.	
✓✓	WO-0141013	06/07/2001	Goyal, Anoop, et al.	
✓✓	WO-0182107	11/01/2001	Seamon, Joseph	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
✓✓		KERNZ, JAMES J., "Apparatus and Method for Certified Coin Image Compilation", U.S. Provisional Patent Application Serial No. 60/076,380, filed February 26, 1998, (02/26/1998), 18 pgs.	

EXAMINER

V. Vu

DATE CONSIDERED

4-11-05

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached

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09/777,306

US Department of Commerce Patent and Trademark Office Form PTO-1449 (Modified)			Atty. Docket No.: 003801.P001C3		Application No.: Not yet assigned	
			Applicant: Hess et al.			
			Filing Date: Concurrently herewith			

09/777,306
 U.S. PAT. & TM. OFF.
 09/22/98

US Patent Documents							
Examiner's Initials	Date	Document Number	Name	Class	Sub-Class	Filing Date	
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✓✓.	04/07/98	5,737,599	Rowe et al.	395	615	12/7/95	
✓✓.	03/16/99	5,884,056	Steele	395	339	12/28/95	
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Foreign Patent Documents							
Examiner's Initials	Date	Document Number	Country	Class	Translation		
✓✓.	08/13/98	WO 98/35468	Patent Cooperation Treaty	H04L			
✓✓.	09/29/94	WO 94/22108	Patent Cooperation Treaty	G06K 9/36, 9/46			
Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)							
✓✓.		Yahoo Auctions Website, downloaded 10/23/98 from http://auctions.yahoo.com					
✓✓.		Onsale Auction Website, downloaded 10/23/98 from http://www.onsale.com					
✓✓.		Netgrocer Website, downloaded 10/23/98 from Website http://www.netgrocer.com					
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Examiner			Date Considered				
✓✓. ✓✓			1-2-04				

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Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	09/777,306
AUG 17 2001				Filing Date	February 5, 2001
TRADEMARK OFFICE				First Named Inventor	Hess, et al.
				Group Art Unit	2754
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	003801.P001C3

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country	Number	Date			
W.	PCT US ✓	WO 99/63461	5/20/99	Dinwoodie	12/9/99	
V.	PCT US ✓	US99/18510	10/23/98	eBay	8/12/99	
V.	PCT US ✓	US00/32088	4/4/2001	eBay	11/20/2000	

OTHER DOCUMENTS

Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
W.	✓ CHRIS PREIST, MARTEN VAN TOL, "International Conference on Information and Computation Economies", <u>Adaptive Agents in a Persistent Shout Double Auction</u> , (c) 1999, Pages 2.	

Examiner Signature	V. Vn	Date Considered	1-8-04
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